



Organisation for Economic Co-operation and Development

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**English - Or. English**

**7 April 2020**

**DIRECTORATE FOR FINANCIAL AND ENTERPRISE AFFAIRS  
INVESTMENT COMMITTEE**

**Meeting of the Network of National Contact Points for Responsible Business  
Conduct**

**NATIONAL CONTACT POINT 2019 REPORT TO THE OECD: PERU**

This document is available in PDF format only.

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**JT03460484**



# NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2019)

This questionnaire is designed to help National Contact Points fulfil their reporting obligations as described in the Procedural Guidance of the OECD Guidelines for Multinational Enterprises. The answers to the questions should reflect the nature and results of the activities of the NCP<sup>1</sup>.

## STRUCTURE

This questionnaire comprises 61 questions and 2 annexes under the following headings:

- A. Contact information
- B. Institutional arrangements
- C. Information and promotion
- D. Specific instances
- E. Peer learning and peer reviews
- F. Policy coherence
- G. Challenges

Annex 1: NCP PROMOTIONAL ACTIVITIES

Annex 2: SPECIFIC INSTANCES

## TYPES OF QUESTIONS

### 1. Questions with predefined answers

For most questions, a pre-defined set of answers are provided in a drop-down menu (e.g. Yes-No-N/A). For each of these questions, please select the appropriate answer in the dropdown menu.

*Example:*

(b) Promotional activities	
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes/No

### 2. Open-ended questions

- a) Some questions are open-ended, and in these cases an answer box is provided. Many of these questions are meant to complement a question with predefined answers. Please keep responses precise and short.

*Example:*

(b) Advisory body	
5. Does the NCP have one or several advisory bodies?	Yes/No

<sup>1</sup>

Page 74 of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises: “Reports should contain information on the nature and results of the activities of the NCP, including implementation activities in specific instances”.

If the NCP has several advisory bodies, please specify.

[Click here to enter text.](#)

- b) “Additional comments” boxes are situated at the end of each section. Please feel free to enter any additional information you would like to share in these boxes.

**Example:**

*Additional comments on Advisory body*

[Click here to enter text](#)

## TERMS USED IN THE QUESTIONNAIRE

A number of terms are used throughout the questionnaire. Definitions are provided below. Please note that these definitions are exclusively intended to assist in clarifying the terms used in this questionnaire. These terms will be underlined the first time they are used in this questionnaire.

- **Structure of the NCP:** The organisational set up of the NCP. Four NCP structures have been pre-identified, but other arrangements are possible:
  - Single-agency: The NCP is composed of one individual in a single ministry, or by a group of individuals belonging to the same service in the same ministry.
  - Inter-agency: The NCP is composed of a group of representatives from several ministries or government agencies.
  - Expert-based: The NCP is composed of experts who are external to government.
  - Multipartite: The NCP is composed of a group of government officials and stakeholder representatives.
- **Secretariat:** Government officials or group of government officials acting as the permanent office of an NCP.
- **Advisory body:** Some NCPs have an advisory body which can be consulted by the NCP on a range of activities and issues on which it provides advice. Advisory bodies can include representatives from trade unions, NGOs, business and/or academia, along with representatives of other government ministries or agencies. They do not normally form part of the NCP and do not have decision-making power on accepting or concluding specific instances. Some advisory bodies also provide oversight to the NCP (see below).
- **Oversight:** Monitoring of the NCP’s activities performed by an Advisory body, for example on whether the NCP has followed its own rules of procedures.
- **Dedicated budget:** A budget that is allocated to the NCP on a regular basis (e.g. every year) to carry out its functions and activities.
- **Reporting:** In addition to the present report to the OECD, some NCPs provide a regular account of their activities to their national government or parliament. This reporting can take several forms: ongoing through regular reporting channels, or through the presentation of a periodic report, which may be made public.

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- **Stakeholder meeting:** A stakeholder meeting is a meeting organised by the NCP to exchange with stakeholders, including trade unions, NGOs and the business community that are not part of the main body or of the advisory body of the NCP.

## REPORTING PERIOD

The reporting period for this questionnaire is from 1 January to 31 December 2019.

## SUBMISSION

The questionnaire should be completed by using this word document and sent by email to: [RBC@oecd.org](mailto:RBC@oecd.org) copying [nicolas.hachez@oecd.org](mailto:nicolas.hachez@oecd.org) and [kathryn.dovey@oecd.org](mailto:kathryn.dovey@oecd.org) by **24 January 2020**.

Should you have any questions on how to fill this questionnaire, please contact [nicolas.hachez@oecd.org](mailto:nicolas.hachez@oecd.org).

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## NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE (2019)

<b>A. CONTACT INFORMATION</b>	
<p><i>Please provide the contact information of the person filling in the questionnaire.</i></p>	
Name: Job title: Email: Telephone number:	Nancy Bojanich Investment Specialist nbojanich@proinversion.gob.pe (511) 2001200 ext 1330
<b>B. INSTITUTIONAL ARRANGEMENTS</b>	
<b>(a) Structure, location and composition of the NCP</b>	
1. What is the <u>structure</u> of the NCP?	Single-agency
2. Who are the members of the NCP? <i>Please describe the functions of the members of the NCP and the ministry/organisation they represent. No names are required.</i>	The Steering Council of PROINVERSIÓN has the highest level of decision of the NCP. The Executive Director is in charge of the NCP Technical Secretariat through PROINVERSIÓN's Investors Servicing División- DSi. The Head of the DSi, mainly supervise the activities carried out, considering the authorized budget provided for the NCP activities. The investment specialist is in charge of carrying out the activities in order to disseminate and promote the OECD Guidelines, working in coordination with various public and private sector agencies as well as with civil society organizations. Likewise, this specialist coordinate with several NCPs and participate in the OECD working party on Responsible Business Conduct. The legal specialist is in charge of carrying out activities related to the attention to specific instances related to the implementation of the OECD Guidelines.
3. If the NCP is not a <u>single-agency</u> NCP, does it have a <u>secretariat</u> ?	Yes
If yes, in which ministry or government body?	The NCP is located in the Private Investment Promotion Agency, public agency attached to the Ministry of Economy and Finance of Peru-
If yes, in which department of the ministry or government body?	PROINVERSIÓN
4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?	Yes
If yes, please provide the name and dated of this instrument, and a link if available	PROINVERSIÓN'S Steering Council Agreement N° 294-01-2009 dated July 1st 2009

The organization structure of PROINVERSIÓN, through its Steering Council, helps the interaction the NCP with other government agencies in order to promote the OECD Guidelines. Ministers that form part of the Steering Council are: Minister of Economy and Finance, Minister of Energy and Mining, Minister of Transportation and Telecommunication, Minister of Housing, Construction and Sanitation, Minister of Production. Moreover, there is a permanent interaction with the Ministry of Labor, the Ministry of Foreign Affairs and other public and private agencies.

<p><b>(b) Advisory body</b></p> <p>5. Does the NCP have one or several <u>advisory bodies</u>?</p> <p>If the NCP has several advisory bodies, please specify.</p> <p>If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing <u>oversight</u>, etc.)</p> <p>If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, NGO, trade union, business, business organisation, academic, consumer organisation, etc.)</p> <p>If yes, please indicate how often the advisory body(ies) meets</p> <p>6. If an advisory body provides <u>oversight</u>, please describe the oversight procedure</p> <p><i>Additional comments on Advisory body:</i> Click here to enter text.</p>			
<p><b>(c) Human and financial resources</b></p> <p>7. Does the NCP have dedicated full-time staff? <i>If yes, please indicate the number.</i></p> <p>8. Does the NCP have dedicated part-time staff? <i>If yes, please indicate in the second box the number of part-time staff members and in the third box the percentage of time spent on NCP matters for each part-time staff member.</i></p>			
<p>No</p>		<p>Click here to enter text.</p>	
<p>Choose an item.</p>		<p>Click to enter text.</p>	
<p>No</p>		<p>Click here to enter text.</p>	
<p>Yes</p>		<p>2</p>	<p><i>The NCP is composed of 2 part time officials from PROINVERSION. The Investment specialist devotes 50% of her time to NCP activities, and the part time legal specialist devotes 30% of</i></p>

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			<i>her time to NCP activities.</i>
9. Have any full-time or part-time staff members joined the secretariat/support office during the year? <i>If yes, please indicate how many.</i>	No	<a href="#">Click here to enter text.</a>	
10. Have any full-time or part-time staff members left the NCP during the year? <i>If yes, please indicate how many.</i>	No	<a href="#">Click here to enter text.</a>	
11. Did the NCP have a <u>dedicated budget</u> this year?  If no, were financial resources for promotional activities allocated on an ad hoc basis when requested by the NCP?  If no, were financial resources for specific instances allocated on an ad hoc basis when requested by the NCP?	Yes	<a href="#">Choose an item.</a>	
12. During the year, did the human and financial resources available to the NCP allow it to:  Handle specific instances in an efficient and timely manner Organise promotional events Attend NCP meetings at the OECD Attend events organised by other NCPs Attend events organised by stakeholders Cover professional mediator fees or in-house mediator fees Conduct fact-finding research into specific instances	Yes	<a href="#">Choose an item.</a>	
If you answered no to any of the above, please specify	For reasons of not having enough budget, currently the NCP no attends events organized by othersd NCP, no cover professional mediator fees, and not conduct research into specific instances .		
<i>Additional comments on Human and financial resources:</i> <a href="#">Click here to enter text.</a>			
<b>(d) Reporting</b>			
13. Does the NCP <u>report</u> to the government on its activities?	Yes		
If yes, how often?	Twice a year		
14. Does the NCP report to parliament on its activities?	No		
If yes, how often?	<a href="#">Choose an item.</a>		
15. Please indicate any other relevant transparency requirement applicable to the NCP in your country			
<i>Additional comments on Reporting:</i> <a href="#">Click here to enter text.</a>			

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<b>C. INFORMATION AND PROMOTION</b>	
<b>(a) NCP website<sup>2</sup></b>	
16. Does the NCP have a website? If yes, please provide the link in the second box.	Yes
If yes, was the website created/renovated this year?	Choose a
17. Are the following items available on the NCP website?	
<b>Information about the Guidelines and the role of the NCP</b>	
The text of the Guidelines	Yes
A description of the Guidelines	No
The OECD Due Diligence Guidance Documents	Yes
Explanatory text about due diligence	No
Information on the NCP and its mandate	Yes
The NCP Annual Report submitted to the OECD	Yes
The NCP's Report to government and/or parliament (if applicable)	No
The NCP's peer review report (if applicable)	No
<b>Information about specific instances</b>	
Information on how to submit a specific instance	Yes
An online form to submit a specific instance	No
The NCP's rules of procedures	Yes
All final statements since 2011	Yes
<b>Information on promotional activities</b>	
The NCP's promotional plan	No
Information on upcoming events promoting the Guidelines	No
Information on past events promoting the Guidelines	Yes
<b>Contact information</b>	
Information on how to make an enquiry to the NCP	Yes
A phone number to reach the NCP directly	Yes
An email address to reach the NCP directly	Yes
<i>Additi</i>	
The web site of PROINVERSION provides information about OECD guidelines, NCP activities and regarding the participation of Peru in the Investment Committee, both in Spanish and English languages :	
<a href="https://www.investinperu.pe/modulos/JER/PlantillaStandard.aspx?are=1&amp;prf=0&amp;jer=8008&amp;sec=17">https://www.investinperu.pe/modulos/JER/PlantillaStandard.aspx?are=1&amp;prf=0&amp;jer=8008&amp;sec=17</a>	

<sup>2</sup>

This includes dedicated NCP webpages as part of the Ministry's website.

<p><i>onal comments on NCP website:</i>  <a href="#">Click here to enter text.</a></p>	
<b>(b) Promotional activities<sup>3</sup></b>	
18. Does the NCP have a promotional plan for the coming year(s)?	No
19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? <i>If yes, please provide details in table 1 in Annex 1.</i>	Yes
20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? <i>If yes, please provide details in table 2 in Annex 1.</i>	Yes
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?	Yes
22. Did the NCP hold a <u>stakeholder meeting</u> during the year?	No
23. Did the NCP promote the Guidelines among the business community during the year?	Yes
24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?	No
25. Did the NCP promote the Guidelines among NGOs during the year?	Yes
26. Did the NCP promote the Guidelines among trade unions during the year?	No
27. Did the NCP promote the Guidelines among government agencies during the year?	Yes
28. Did the NCP promote the Guidelines among embassies abroad during the year?	No
29. Did the NCP promote the Guidelines to investment promotion agencies during the year?	No
30. Did the NCP focus on any of the following during promotional activities during the year:	
OECD Due Diligence Guidance for Responsible Business Conduct	No
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	No

<sup>3</sup> Attendance of NCP meetings held at the OECD and other OECD events such as the Global Forum on Responsible Business Conduct are not considered to be promotional activities.

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Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors	No				
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	No				
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	No				
OECD-FAO Guidance for Responsible Agricultural Supply Chains	No				
As part of Proinversion's investment guidance services, Peru's adherence to the OECD Declaration and OECD Guidelines is being informed to foreign investors willing to explore the possibility of doing business in Peru. Besides, the NCP has developed and published a brochure titled : Peru Strengthening an investment climate that promotes a sustainable, which includes topics regarding the guidelines of the OECD for multinational Enterprises. This brochure has been delivered to participants of several meetings and activities of the investment promotion Agency, and in promotional activities of the NCP developed in 2019 year.					
<b>D. SPECIFIC INSTANCES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; vertical-align: top;"> <b>(a) NCP rules of procedure for handling specific instances</b> </td> <td style="width: 70%;"></td> </tr> <tr> <td>31. Does the NCP have rules of procedure describing the handling of specific instances?</td> <td>Yes</td> </tr> </table>		<b>(a) NCP rules of procedure for handling specific instances</b>		31. Does the NCP have rules of procedure describing the handling of specific instances?	Yes
<b>(a) NCP rules of procedure for handling specific instances</b>					
31. Does the NCP have rules of procedure describing the handling of specific instances?	Yes				
32. Are the rules of procedure available online? <i>If yes, please provide a link in the second box. If no, please attach a copy of the rules of procedure to this questionnaire</i>	Yes <a href="https://www.investinperu.pe/">https://www.investinperu.pe/</a>				
33. Were the NCP's rules of procedure modified this year?	No				
<i>Additional comments on the section <b>Rules of procedure</b>:</i> Click here to enter text.					
<b>(b) Specific instance practicalities</b>					
34. Does the NCP confirm receipt of a specific instance submission?	Yes				
35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance?	No				

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36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?	Yes
37. Did the NCP engage professional mediators during the year?	No
38. Did the NCP staff or members conduct mediation this year?	No
<p><i>Additional comments on the section <b>Specific instance practicalities</b>:</i>  Click here to enter text.</p>	
<p><b>(c) Reporting specific instances</b></p>	
39. Did the NCP receive new specific instance submissions during the year? <i>If yes, please also provide details in Annex 2</i>	No
40. Did the NCP close specific instances during the year? <i>If yes, please also provide details in Annex 2</i>	Yes
41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the calendar year? <i>If yes, please also provide details in Annex 2</i>	No
42. Did the NCP follow up on a case during the year? <i>If yes, please also provide details in Annex 2</i>	N/A
43. Is the OECD <a href="#">case database</a> accurate and up to date with regard to cases handled by the NCP?	No
<p><i>Additional comments on the section <b>Reporting specific instances</b>:</i>  There are two specific instances that appear duplicated in the database. The correct one is the “Glencore/Perubar S.A. and Central Única de Trabajadores del Perú (CUT)” entry. We request that the other one (Mine Closure in Peru) is deleted</p>	

E. PEER LEARNING AND PEER REVIEWS	
44. Did the NCP take part in the following activities with other NCPs during the year:	
Host a peer learning activity	No
Participate in peer learning activities hosted by other NCPs	No
Co-operate with other NCPs in handling specific instances	No

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Provide mentoring/capacity building to another NCP	No
45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2020?	No
46. Which topic would the NCP consider to be a priority to cover in a peer learning event?	Workshops regarding the guidelines in due diligence in mining and extractive sectors in order to enhance the NCP knowledge with respect to these issues..
47. Is the NCP interested in participating in developing tools for use by NCPs?	No
48. Is the NCP interested in acting as a peer reviewer in the future?	Yes
If yes, please specify the semester and the year	2° Semester of 2022 year.
<i>Additional comments on the section <b>Peer-learning and peer reviews:</b></i> Click here to enter text.	

F. POLICY COHERENCE <sup>4</sup>		
49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)	No	
If yes, please provide a short summary and a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i>	Click here to enter text.	
50. Did your country adopt a National Action Plan (NAP) this year? <i>If yes, please indicate which type of NAP</i>	Yes	NAP on Business and Human Rights
If yes, please provide a link	<a href="https://observatoriodederechoshumanos.mjus.gob.pe/observatorio-de-ddhh/">https://observatoriodederechoshumanos.mjus.gob.pe/observatorio-de-ddhh/</a>	
If yes, does the NAP make reference to the Guidelines?	Yes	
If yes, does the NAP make reference to the NCP?	Yes	
51. Was a NAP in development this year? <i>If yes, please indicate which NAP.</i>	Yes	NAP on Business and Human Rights

<sup>4</sup> Paragraph 37 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises: "Statements and reports on the results of the proceedings made publicly available by the NCPs could be relevant to the administration of government programmes and policies. In order to foster policy coherence, NCPs are encouraged to inform these government agencies of their statements and reports when they are known by the NCP to be relevant to a specific agency's policies and programmes [...]."

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If yes, did the NCP participate in the development of the National Action Plan?	Yes
52. Did the NCP communicate public statements on specific instances to officials responsible for trade missions?	No
53. Did the NCP communicate public statements on specific instances to officials responsible for foreign trade and investment incentives?	No
54. Did the NCP communicate public statements on specific instances to public procurement officials?	No
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?	No
If yes, please provide a link to the legislation. <i>If a link is not available, please attach a copy of the legislation to this questionnaire.</i>	Click to enter text.
56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?	No
57. Were public procurement officials involved in any of your training/outreach activities?	No
58. What tools or projects does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?	Click to enter text.
59. Please flag any specific initiatives/good practice involving public procurement and RBC in your country.	Click to enter text.
60. Please indicate any other examples of policy coherence activities.	Peru has the policy to negotiate articles of Corporate Social Responsibility in its international investment treaties. Specifically, in the Investment Chapter of the Commercial Agreement negotiated with the countries members of the Pacific Alliance, we have included in the article of Social Responsibility Policy, a direct reference to the OECD Guidelines.
<i>Additional comments on Policy Coherence:</i> Click here to enter text.	

G. CHALLENGES	
61. Has the NCP faced any particular challenge(s) it would like to highlight this year?	Participate in the following phases of the review of RBC policies in Peru in the context of the Regional Program to promote RBC in LAC countries.

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*Additional comments on Challenges:*

Click here to enter text.

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## Annex 1: NCP PROMOTIONAL ACTIVITIES

**Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).**

Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event.

Please add additional lines if needed.

**Table 1**

<b>NCP-organised and co-organised events to promote the Guidelines and/or the NCP</b>							
<b>Title</b>	<b>Date (dd/mm/yyyy )</b>	<b>Location</b>	<b>Type of event</b>	<b>Size of audience</b>	<b>Organised or co-organised?</b>	<b>Targeted audience</b> <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	<b>Theme</b> <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
The Investment climate and the OECD Guidelines for Multinational Enterprises	12/09/2019	Trujillo City	Conference	50-100	organized	<i>Business representatives, NGOs, Trade unions, Academia, General public, Government representatives</i>	The OECD gUIDELINES
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

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Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

**Total number=** 1.

**Table 2**

Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others								
Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc</i>	Organiser(s)	Type of intervention	Theme of the intervention
International Trends in Corporate Responsibility and Sustainability in Peru	7/03/ 2019	Lima	Conference	>100	<i>Business representatives, NGOs, Trade unions, Academia, General public, Government</i>	Lima Chamber of Commerce	Panellist	The OECD gUIDELINES
EXPOALIMENTARIA	23/09/ 019	Lima	Conference	>100	<i>Business representatives, NGOs, Trade unions, Academia, General public, Government</i>	Peru's association of Exporters	Speaker	The OECD gUIDELINES
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.	Click here to enter text.

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Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.			

<b>Total number=</b>	2	
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## Annex 2: SPECIFIC INSTANCES

**Please provide details of the following in the table below:**

1. Specific instances **in progress** during the year (specific instances received and found to merit further examination after the initial assessment, and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the Secretariat, please complete and send this [form](#) to [Alison.holm@oecd.org](mailto:Alison.holm@oecd.org) and [Kathryn.dovey@oecd.org](mailto:Kathryn.dovey@oecd.org)<sup>5</sup>.

<sup>5</sup> According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

## PROPOSED AMENDMENTS TO THE ANNUAL REPORTING QUESTIONNAIRE FOR NCPS

For Official Use

**For all specific instances that are currently IN PROGRESS:**

Names of parties	Date received (dd/mm/yyyy)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.	Click here to enter text.

**Total number=** 0

**For all specific instances that were CONCLUDED during the year:**

Title	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for follow up?	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Doe Run Peru S.R.L., The Renco Group Inc., and Cooperacion, Oxfam America in Peru and Oxfam America in	24/02/2011	18/09/2019	<a href="http://mneguidelines.oecd.org/database/instances/pe0006.htm">http://mneguidelines.oecd.org/database/instances/pe0006.htm</a>	Other	No follow-up	Click here to enter text.

**PROPOSED AMENDMENTS TO THE ANNUAL REPORTING QUESTIONNAIRE FOR NCPS**

For Official Use

Washington and other NGOs						
Click here to enter text.	Click here to enter text.	Click here to enter text.		Other	No follow-up	Click here to enter text.
Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.			

<b>Total number=</b>	1
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**For all specific instances that were NOT ACCEPTED during the year:**

<b>Title</b>	<b>Date received (dd/mm/yyyy)</b>	<b>Link to OECD database if available</b>	<b>Reasons for not accepting the specific instance</b>	<b>Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.</b>
Human rights and bribery issues involving a U.S. multinational in the hotel industry in Peru	18/12/2018	<a href="http://mneguidelines.oecd.org/database/instances/pe0005.htm">http://mneguidelines.oecd.org/database/instances/pe0005.htm</a>	There was no link between the enterprise's activities and the issue raised in the specific instance.	The Peruvian NCo coordinated with the USA NCP because the company's headquarters were located in USA and there was no office branch in Peru.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
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<b>Total number=</b>	1
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**PROPOSED AMENDMENTS TO THE ANNUAL REPORTING QUESTIONNAIRE FOR NCPS**

For Official Use

**For all specific instances for which FOLLOW UP was performed during the year:**

<b>Title</b>	<b>Date concluded (dd/mm/yyyy)</b>	<b>Date of follow up (dd/mm/yyyy)</b>	<b>Was a public follow up statement issued?</b>	<b>Link to the follow up statement, if applicable</b>
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.

**Total number=** 0

**END OF QUESTIONNAIRE**